

06/13/02 23:18

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/007,143	11/05/2001	Michael N. Macris	11493/6:4

CONFIRMATION NO. 3679

FORMALITIES LETTER

OC000000007741806

Not Available
Not Available
Washington, DC 20231

Date Mailed: 03/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

08/27/2002 GWORDDF1 00000054 10007143

01 FC:201
02 FC:205370.00 OP
65.00 OP

AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): Macris et al.

Docket No.

11493/6:4

Serial No.

10/007,143

Filing Date

November 5, 2001

Examiner

Not Yet Assigned

Group Art Unit

1712

Invention: METHODS FOR PROTECTING SUBSTRATES FROM GRAFFITI AND FOR REMOVING GRAFFITI



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	20 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	3 -	3 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-2375
A duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 C.F.R. 1.16.
 - ☒ Any patent application processing fees under 37 CFR 1.17.

Kevin B. Laurence
Signature

Dated: AUGUST 23, 2002

Kevin B. Laurence
Registration No. 38,219
STOEL RIVES LLP



32642

PATENT TRADEMARK OFFICE

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
11493/6:4

In Re Application Of: **Macris et al.**

Serial No.
10/007,143

Filing Date
November 5, 2001

Examiner
Not Yet Assigned

Group Art Unit
1712

Invention: **METHODS FOR PROTECTING SUBSTRATES FROM GRAFFITI AND FOR REMOVING GRAFFITI**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee

\$370.00

☐ Design application filing fee

☒ Total number of independent claims = 3

☒ Total number of claims = 20

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

\$65.00

☒ Petition and fee for filing by other than all the inventors or a person not the inventor

\$130.00

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application
Assignment Recordation Fee

\$ 40.00

Total completion of application fees

\$565.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☒ Three months ☐ Four months ☐ Five months

from: May 28, 2002
Date

until: August 28, 2002
Date

Total time extension fees \$460.00

Total fees due \$1,065.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
11493/6:4

In Re Application Of: Macris et al.

Serial No.
10/007,143

Filing Date
November 5, 2001

Examiner
Not Yet Assigned

Group Art Unit
1712

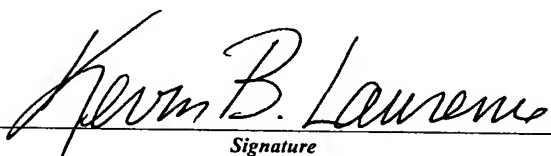
Invention: **METHODS FOR PROTECTING SUBSTRATES FROM GRAFFITI AND FOR REMOVING GRAFFITI**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$1,065.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-2375**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-2375**
A duplicate copy of this sheet is enclosed.


Signature

Dated: **AUGUST 23, 2002**

Kevin B. Laurence
Registration No. 38,219
STOEL RIVES LLP



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PATENT TRADEMARK OFFICE

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

PN

08-26-02

APR 2

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Docket No.

Applicant(s):

Serial No.

10/007,143

Filing Date

November 5, 2001

Examiner

Not Yet Assigned

Group Art Unit

1712

Invention: METHODS FOR PROTECTING SUBSTRATES FROM GRAFFITI AND FOR REMOVING GRAFFITI



I hereby certify that this Response to Notice to File Missing Parts (including the items listed below)
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on

AUGUST 23 2002
(Date)

Kevin B. Laurence

(Typed or Printed Name of Person Mailing Correspondence)

Kevin B. Laurence
(Signature of Person Mailing Correspondence)

EV140281267US

("Express Mail" Mailing Label Number)



32642

PATENT TRADEMARK OFFICE

Note: Each paper must have its own certificate of mailing.

Transmitted:

Response to Notice to File Missing Parts of Application (3 pgs.)
Copy of Notice to File Missing Parts of Application (1 pgs.)
PTO-2038 Credit Card Charge Form charging the amount of \$1,065.00
Petition Under 37 CFR § 1.47
(Copy of returned envelope mailed to David Dobney)
Preliminary Amendment (17 pgs.)
Amendment Transmittal Letter (1 pg.)
Declaration for Patent Application (3 pgs.)
Power of Attorney (1 pg.)
Statement Under 37 CFR 3.73(b) (1 pg.)
Assignment (3 pgs.)
(including Recordation Form Cover Sheet and \$40 Recordation fee)
Change of Correspondence Address (1 pg.)
Certificate of Mailing by Express Mail Label No. EV140281267US
Postcard

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
11493/6:4

In Re Application Of: Macris et al.

Serial No.
10/007,143

Filing Date
November 5, 2001

Examiner
Not Yet Assigned

Group Art Unit
1712

Invention: **METHODS FOR PROTECTING SUBSTRATES FROM GRAFFITI AND FOR REMOVING GRAFFITI**

08/27/2002 GWORDOF1 00000054 10007143

04 FC:217

460.00 OP

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
March 28, 2002
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

Preliminary Amendment
Petition Under 37 C.F.R. 1.47(a)
Power of Attorney
Statement Under 37 C.F.R. 3.73(b)
Assignment (including Recordation Form Cover Sheet and \$40.00 Assignment recordation fee)
Change of Correspondence Address



STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Michael N. Macris

Application No.: 10/007,143

Filed: November 5, 2001

Entitled: Methods for Protecting Substrates From Graffiti and for Removing Graffiti

American Polymer

, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

7/12/02
Date

[Signature]
Signature

MICHAEL N. MACRIS

Typed or printed name

President of American Polymer Corporation

Title



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

POWER OF ATTORNEY

Name of Applicant: Macris et al. Docket No. 11493/6:4 US
Address of Applicant: 14 Quietwood
Sandy, Utah 84092
Title: METHODS FOR PROTECTING SUBSTRATES FROM GRAFFITI AND
FOR REMOVING GRAFFITI
Serial No., if Any: 10/007,143
Filed: November 5, 2001

TO THE COMMISSIONER FOR PATENTS

The Commissioner for Patents
Washington, D.C. 20231

Honorable Sir:

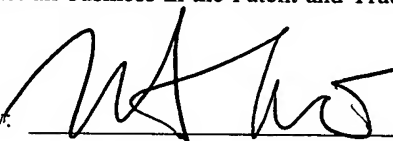
I hereby appoint:

Kory D. Christensen	43,548
Mara Featherstone	50,390
Kevin B. Laurence	38,219
John R. Thompson	40,842
Scott F. Young	45,249

As principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all future correspondence to:

Kevin B. Laurence
Registration No. 38,219
STOEL RIVES LLP
One Utah Center
201 South Main Street, Suite 1100
Salt Lake City, Utah 84111
Telephone: (801) 578-6932
Facsimile: (801) 578-6999

By: 
MICHAEL N. MACRIS, President
AMERICAN POLYMER

Dated: 7/22/02